

# Dr. Carsten Wettich



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## **Publications**

#### MONOGRAPH, COMPENDIUM, FESTSCHRIFT

- Organisation of management board in stock corporations, dissertation, 2008; published as Vol. 170 in the AHW publication series of Carl Heymanns Verlag (see the review by Dr. Alexander Kiefner, published in ZHR 2010, p. 368)
- 2. Editor in contract and form book on commercial, corporate and banking law, published by Klaus J. Hopt, 4<sup>th</sup> ed. 2013 (5<sup>th</sup> ed. 2020 in publication), editor of standard forms of section on corporate law transformation of companies
- 3. Growing significance of market price in structural actions, published in Festschrift for Hoffmann-Becking, 2013, p. 157
- 4. Handbook "Commercial law in auditing", Institute of Public Auditors in Germany (IDW), (publisher), 1st ed. 2020, editor of the chapter "Main features of German commercial and corporate law"



#### LAW REVIEW ARTICLES AND ANNOTATIONS

- 1. The virtual general meeting as a permanent alternative or back to presence?, WPg 2022, p. 1364 1368 (together with Prof. Dr. Sabine Otte).
- Comment on Higher Regional Court Berlin, decision of 11.2.2021 22 W 1047/20:
   No authorisation to convene a general meeting on resolution items already discussed, published in BeckRS 2021, p. 24216
- 3. Cash compensation in the event of a squeeze-out in the existence of a domination and profit and loss transfer agreement, published in WPg 2021, p. 608
- 4. Annotation on Higher Regional Court Bamberg, judgement of 25.3.2020 4 W 21/20: Participation of the Management Board member in his own authorization to carry out certain transactions, published in GWR 2020, p. 302
- 5. Virtual general, shareholder and member meetings in times of COVID-19, published in WPg 2020, p. 535
- 6. Annotation on Higher Regional Court Brandenburg, judgement of 29.08.2018 7
  U 73/14: Invalidity of an agreement on the core area of the management authority
  of the Executive Board, published in GWR 2019, p. 346
- 7. Duty of the managing director to affirm when registering to the commercial register, legal contribution to the decision of the OLG Hamm, judgement of 27.09.2018 –27 W 93/18, pubslihed in SpuRt 2019, p. 34
- 8. Conversion of British Limiteds affected by Brexit with administrative headquarters in Germany, published in WPg 2018, p. 1590
- Annotation on Higher Regional Court Frankfurt a. M., judgement of 27.08.2018 –
   W 29/18: Invalidity of an agreement on the core area of the management authority of the Executive Board, published in GWR 2018, p. 431



- 10. Annotation on Regional Court Munich I, judgement of 26.06.2018 38 O 15760/17: Relevance of the state of co-determination in the case of conversion of an AG into an SE, published in GWR 2018, p. 410
- 11. Annotation on Labor Court Mannheim, judgement of 07.12.2017 14 BV 13/16: No seat guarantee for trade unions with regard to the composition of the Supervisory Board in participation agreement in the case of SE formation by conversion, published in GWR 2018, p. 349
- 12. D&O Insurance: Stumbling blocks for the Supervisory Board in case of liability, published in BOARD 2018, p. 96
- 13. Latest developments in registered associations as legal form for (professional-) sports clubs, published in SpuRt, 6/2017, p. 229
- 14. Annotation on Regional Court Muenster, judgement of 12.12.2016 24 O 47/16: Termination of service agreement of a member of the Executive Board because of realisation of own business opportunities, published in GWR 2017, p. 199
- 15. The 'nuts and bolts' of D&O insurance in Germany, Expert Briefing in Financier Worldwide, issue April 2017
- 16. Annotation on Regional Court Hamburg, judgement of 12.8.2016 413 HKO 138/15: Controlling undertaking within the meaning of co-determination act in case of foreign parent company, published in GWR 2017, p. 79
- 17. Current developments and trends in the 2016 annual general meeting season and outlook for 2017, AG 2017, p. 60
- 18. D&O insurance on the agenda of the supervisory board, BOARD 2016, p. 241
- 19. Supervisory Board: supervisory task, personal liability and D&O insurance, published in KSzW 2016, p. 269



- 20. Annotation on Regional Court Munich I, judgement of 9.6.2016 17 HK O 6754/15: Court appointment of a special auditor requires information needs, published in GWR 2016, p. 508
- 21. The 101 of Litigation Funding in the German D&O-Claims Arena, Guest Post in the international Blog "The D&O Diary", 24/11/2016
- 22. Personal liability risks of municipial supervisory board members separate D&O supervisory board protection required, Behoerdenspiegel issue November/2016, p. 20
- 23. Role and personal liability of supervisory board members in Germany, Expert Briefing in Financier Worldwide, issue November 2016
- 24. Annotation on Local Court of Munich register court, judgement of 15.9.2016 VR 2463: No cancellation procedure relating FC Bayern Munich because of misconduct of the legal form of a registered association, published in GWR 2016, p. 403
- 25. Annotation on Higher Regional Court Frankfurt, judgement of 9.2.2016 11 U 136/14: No arbitrary refusal by manufacturer of orders placed by an official distributor, published in GWR 2016, p. 339
- 26. Annotation on Regional Court Cologne, judgement of 20.5.2016 82 O 123/15: Admissibility of applications for annulment of resolutions oft the general meeting in case of subsequent notification according to stock corporation law within the legal proceedings, published in GWR 2016, p. 320
- 27. D&O-Insurance and annual general meeting, published in GWR 2016, p. 199
- 28. Current developments and trends in the 2015 annual general meeting season and outlook for 2016, published in AG 2015, p. 681
- 29. Annotation on Higher Regional Court Nuremberg, judgement of 19.8.2014 12 W 1568/14: Objection to the list of shareholders of a limited liability company, published in GWR 2014, p. 434



- 30. Annotation on Higher Regional Court Jena, judgement of 16.4.2014 2 U 608/13: Principle of indivisibility of minutes for general annual meetings of unlisted companies, published in GWR 2014, p. 349
- 31. Current developments and trends in the 2014 annual general meeting season and outlook for 2015, published in AG 2014, p. 534
- 32. Annotation on Higher Regional Court Frankfurt a. M., decision of 28.3.2014 21 W 5/11: Adequacy of cash compensations in case of domination and profit and loss transfer agreement), published in GWR 2014, p. 239
- 33. Legal framework for medium-sized enterprises, guest post in Unternehmeredition, issue 2/2014, special edition "Tax & Law", p. 6
- 34. Annotation on BGH, decision of 8.10.2013 II ZB 26/12 ("Frosta"): Nno cash compensation for minority shareholders in case of delisting, published in EWiR 2014, p. 3
- 35. Remuneration of management board members (claw back) and scope of competence reservation, published in AG 2013, p. 374
- 36. BVerfG, decision as of 13.06.2012 1 BvR 3142/07, 1 BvR 1569/08" ("Macrotron)": Principles regarding delisting following the decision of the German Federal Constitutional Court, published in DB 2012, p. 2265
- 37. Current developments and trends in the 2012 annual general meeting season and outlook for 2013, published in AG 2012, p. 725
- News re. determination of market price in structural actions, published in ZIP 2012,
   p. 449
- 39. German stock corporation law amendment 2012 the Government draft from a practical perspective, published in ZIP 2012, p. 297
- 40. Squeeze-out merger according to sec. 62 para. 5 German Transformation Act, published in DB 2011, p. 1500



- 41. Current developments and trends in the 2011 annual general meeting season and outlook for 2012, published in NZG 2011, p. 721
- 42. German stock corporation law amendment 2011 legal appraisal of the ministerial draft from a practical perspective, published in ZIP 2011, p. 160
- 43. Squeeze-out merger: new practical scopes, published in DB 2010, p. 2545
- 44. BGH, decision as of 19.7.2010 II ZB 18/09 ("Stollwerck"): Specifications rereference period of market price for compensations in structural actions, published in BB 2010, p. 2227
- 45. Proposal on allocation of profit in case of indefinite number of shares entitled to dividend, published in NZG 2010, p. 767
- 46. (Partial) waiver of a supervisory board member on his remuneration payable, published in NZG 2009, p. 852



### Lectures

- Compliance seminar New Developments in Corporate Criminal Law, Internal Investigations and Whistleblowing, University of Cologne, 09/06/2020
- 2. Dispute on corporate officer's liability and D&O-insurance, lecture within the 13th conference on corporate law from the Rhineland on 13/06/2017 in Bonn
- 3. Protective D&O insurance shield for supervisory board members latest developments and questions on the liability of supervisory board members, lecture within the Supervisory Board Breakfast organised by Berner Fleck Wettich together with AIG and MRH Trowe Group on 07/12/2016 in Frankfurt and 11/05/2017 in Duesseldorf
- 4. Sustainability within the reporting obligations Implementation of the CSR EU-Directive, held by German Association for Human Resource Management (DGFP) on 16/03/2017 in Frankfurt
- Global Reporting Standards: CSR reporting obligations beginning in 2016 on EU level, lecture within the annual meeting on personnel controlling, held by German Association for Human Resource Management (DGFP) on 14&15/11/2016 in Frankfurt
- 6. Responsibility and personal liability of managing directors, lecture within the seminar on IT compliance for members of management board and IT managers, held by "Behoerden Spiegel" on 14/06/2016 in Cologne
- 7. Shaping the co-determination through cross-border reorganizations of the corporate structure from the point of view of corporate law and labor law, lecture event at the University of Cologne on 10/12/2015, organized by Prof. Dr. Barbara Dauner-Lieb